Strengthening and Systematizing Civil Society Engagement in the NFM
Concept Note for the Pilot Initiative
24/09/13

1. Background
With the launch of the New Funding Model (NFM), the Global Fund reiterates the critical importance of ensuring full and meaningful engagement of civil society especially key affected populations (KAP) and people living with diseases (PLWD) throughout the NFM process at country level. The Country Coordinating Mechanism (CCM) is primarily responsible for engaging PLWD/KAP networks and organizations in the concept note development to grant implementation. Ensuring broad participation and meaningful engagement remain challenging for many CCMs for various reasons including financial constraints. To enhance the CCM’s quality engagement with PLWD/KAP networks, the Global Fund is initiating a pilot to systematically increase CCM funding in selected countries that are going through NFM process in the Q3/A4 of 2013. The funds will enable and incentivize CCMs to ensure meaningful involvement of PLWD/KAP networks in country-level decision making, and provide them with support from a third-party organization to advise and monitor the process for assured outcomes. Based on the findings from this pilot, the Secretariat will decide whether to incorporate financial support for PLWD/KAP engagement systematically in CCM funding for all NFM standard applicant countries in 2014 and beyond.

2. Objectives of PLWD/KAP Engagement CCM Funding Pilot
Before rolling out dedicated CCM funding for PLWD/KAP engagement to all countries with Global Fund grants, the Secretariat aims to verify whether such funding results in fuller and more meaningful PLWD/KAP engagement. The objectives of the pilot are, therefore, to assess success in the following areas:

- Systematize and strengthen ongoing KAP/PLWD engagement before, during and after Country Dialogue and Concept Note development, and to ensure continued and meaningful engagement throughout the grant cycle.
- Empower KAP/PLWD CCM member(s) to engage their constituencies in robust and inclusive NFM process.
- Strengthen in-country KAP network capacities to engage with the NFM process through adequate technical assistance (TA) and regional KAP network support.
- Establishing of an oversight mechanism by engaging credible regional organizations to monitor the process (Terms of Reference attached to this communication).

3. Expected Outcomes of the PLWD/KAP Engagement CCM Funding Pilot
i. Increased and meaningful participation of PLWD/KAP before and during Country Dialogue and Concept Note development process in the pilot countries and continued engagement throughout the grant cycle;

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1 Defined KAP in the NFM include: women and girls, MSM, Transgender, PWID, SW, prisoners, refugees and migrants, people living with HIV, adolescents and young people, OVCs and populations of humanitarian concern.
ii. Increased communication from PLWD/KAP CCM member(s) to their constituencies, including sharing information on the NFM process;

iii. Increased capacities of PLWD/KAP networks for meaningful engagement, with technical support provided by regional and/or global networks of KAP and other relevant partners;

iv. PLWD/KAP groups are able to identify programmatic gaps and intervention needs, and will be able to discuss during broad country dialogue occasions; KAP (especially those who are criminalized and marginalized) have safe space to engage in the NFM process;

v. Better engagement of PLWD/KAP in CCM membership nomination process (in countries where CCM member election is scheduled); and

vi. The proposed mechanism of PLWD/KAP engagement CCM funding support is confirmed adequate and implementable at country level, through oversight reports and feedback from regional networks, with lessons learned taken on board for full roll-out.

3.1. **Pilot Evaluation:**

i. A credible Global Civil Society Organizations will be selected to perform the overall evaluation of this pilot initiative.

ii. The involvement of such Global Civil Society Organization in this pilot initiative will be contracted separately by the Global Fund Secretariat, outside of the CCM Funding Agreement.
4. Description of PLWD/KAP Engagement CCM Funding Mechanism

The PLWD/KAP engagement CCM funding mechanism could follow the process below (illustrative, for discussion). However, countries are encouraged to test alternative approaches to see what may work best for them to strengthen PLWD/KAP:

1. The Country Team for each pilot country adds “dedicated CCM funding for PLWD/KAP engagement” (see section below for details) to the regular CCM funding request submitted by the CCM.

2. Details of the dedicated CCM funding for PLWD/KAP engagement (memo to be drafted by the CCM and CS Hub) are explained to respective CCMs by Country Teams.

3. Representatives of PLWD/KAP networks identify a third-party, regional organization for an oversight role based on clear criteria.

4. After the CCM notifies the Global Fund CCM Hub the selected regional organization for oversight, the Secretariat will contract with identified regional organization: a generic ToR of the oversight organization will be made available for quality assurance and to ensure consistency.

5. CCM member(s) representing PLWD and/or KAP develops an engagement plan in collaboration with the selected regional organization.

6. PLWD/KAP CCM member(s) conducts mapping of in-country PLWD/KAP networks to be engaged, with support of the Global Fund Secretariat, in-country technical partners and/or regional, global networks of KAP.

7. PLWD/KAP CCM member(s) convene meetings (e.g., pre- Country Dialogue sub-population caucuses, special “safe space” meetings with KAP in contexts where their security is not assured, reaching out to include KAP in geographically hard-to-reach areas, etc.).
8. In case of PLWD/KAP lacking adequate capacities to meaningfully participate in country dialogue processes, TA from relevant TA providers including regional and global networks of respective KAP shall be requested (subject to availability of funding).

9. PLWD/KAP CCM member(s), with support of their constituencies and partners, will develop concrete plans and/or investment cases to be presented at the CCM meeting for concept note development.

10. PLWD/KAP provide feedback to relevant stakeholders of this pilot initiative, including CCM, FPM, GF Secretariat, regional/global KAP networks for improved and increased engagement in the NFM and GF decision making process in the future.

11. Regional oversight organization submits quarterly reports to the GF Secretariat, CCM, and relevant stakeholders to inform on progress of engagement. The CCM will provide inputs to the reports but no sign-off will be required prior to sharing the report with the secretariat.

12. GF Secretariat to review and confirm the outcomes of the pilot.

13. Overall evaluation of the pilot project.

5. Oversight roles of regional organizations for monitoring the engagement process and provision of feedback to the Secretariat

During the pilot phase a regional organization will be identified by each pilot country, to provide ongoing support to the PLWD/KAP CCM members, to document the process of engagement and evaluate the effectiveness of the pilot. Each organization will be a network of key populations with strong regional or sub-regional presence. Other qualifications desirable include:

- An umbrella organization or single organization that is operating regionally;
- Either represent or has been recommended by Key Populations networks
- Previous experience in supporting and documenting community-level groups to participate meaningfully in national processes;
- Must be neither implementing programs for Global Fund nor be represented in a CCM; Must have people living with the three disease and/or key populations participating in their governance bodies; and
- Should have a solid understanding of human rights and gender considerations. Understanding of TB and malaria and good networks in these areas are preferable based on epidemiology in the region

Such organizations will be monitoring the implementation of the pilot and will report quarterly to the Global Fund Secretariat to document progress and challenges observed in pilot countries. They are also requested to suggest, where necessary, to CCMs and the Secretariat additional interventions (e.g. technical assistance) to improve the level of engagement of PLWD/KAP.
6. Illustrative Activities that can be funded by the CCM Funding for PLWD/KAP engagement

The CCM funding for PLWD/KAP engagement in this pilot phase is envisaged to support engagement of CS, in particular people living with the diseases and KAP networks in the NFM process. Activities must fall within the categories allowed in the CCM Funding Policy. Examples could include, can include, but are not limited to the following:

- **Country dialogue consultations**: reaching out to stakeholders beyond the CCM composition (including pre-dialogue caucuses, “safe space” consultations for specific populations at risk of harassment etc.) to ensure the final Concept Note takes into account needs and perspectives from all KAPs in line with the socio-epidemiological context of the country;

- **Supporting efficient and systematic “bi-directional” communication and feedback** from the CCM member representing PLWD/KAPs with all constituency members; and

- Supporting a transparent and fully inclusive CCM election process for the CS sector (if applicable) as well as induction of the newly elected members.

The CCM funding for PLWD/KAP engagement can be used for activities under the following categories of costs:

- **Consultant fees**: Fees for hiring a consultant to facilitate PLWD/KAP consultations (ToR for consultants must include a specific clause prohibiting writing of proposals or concept note).

- **Organization of meetings/workshops**: Costs associated with PLWD/KAP in-person meetings, including venue hire, interpreters if necessary, travel, per diem (only for PLWD/KAP members that can demonstrate loss of their business income due to participation in the meeting), provided that the chosen location is the most efficient from a financial standpoint.

- **Capacity building activities**: Training of PLWD/KAP members directly linked to supporting the quality of stakeholders’ participation in country level NFM related processes.

- **Communication materials**: Fees related to translating key information related to the NFM and the Global Fund into local languages, printing of materials, newsletter or website costs for wider dissemination of information among PLWD/KAP communities.

7. Pilot Countries

For the pilot phase of the systematic PLWD/KAP engagement CCM funding in the Q3/Q4 of 2013, 10 countries that meet all, or majority of, the selection criteria below were selected.

- Countries that are either interim NFM applicants or are planning to apply for the NFM as standard applicants in Q1/Q2 of 2014;

- Countries of which CCM is in the process of signing CCM funding or applying for the CCM funding in the Q3/Q4 of 2013; and

- Interim applicant countries with large grants are discussed.
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<th>Country</th>
<th>Portfolio size (USD)</th>
<th>Size</th>
<th>Diseases</th>
<th>Geo-challenge</th>
<th>Proposed CS funding (USD)</th>
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8. **Budget Implications**

In 2013, the total amount of additional PLWD/KAP engagement CCM funding disbursed to 10 pilot countries is estimated at around USD 365,000.

9. **Next Steps**

The pilot phase will be launched in October 2013. The evaluation phase is due to be completed by the end of January 2014.